## Appendix D

Excerpts From the Catalog of Federal Domestic Assistance

# Catalog of Federal Domestic Assistance Office of Juvenile Justice and Delinquency Prevention

The following excerpts are from the Catalog of Federal Domestic Assistance (FDAC) online (04/05/96 update) (see http://www.gsa.gov/fdac/default.htm). The Catalog is a government-wide compendium of Federal programs, projects, services, and activities that provide assistance or benefits to the American public. It contains financial and nonfinancial assistance programs administered by departments and establishments of the Federal government.

16.541 Juvenile Justice and Delinquency Prevention-Special Emphasis

(Program Grants, Discretionary Grants and Contracts)

FEDERAL AGENCY: OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION, DEPARTMENT OF JUSTICE

AUTHORIZATION: Juvenile Justice and Delinquency Prevention Act of 1974, Sections 261, 262, Public Law 93-415, as amended; Public Laws 94-503, 95-115, 96-509, 98-473, 100-690, and 102-586, 42 U.S.C. 5601, et seq.

OBJECTIVES: To develop and implement programs that design, test, and demonstrate effective approaches, techniques and methods for preventing and controlling juvenile delinquency such as community based-alternatives to institutional confinement; developing and implementing effective means of diverting juveniles from the traditional juvenile justice and correctional system; programs stressing advocacy activities aimed at improving services to youth impacted by the juvenile justice system; model programs to strengthen and maintain the family unit

including self-help programs; prevention and treatment programs relating to juveniles who

commit serious crimes; programs to prevent hate crimes; and a national law-related education program of delinquency prevention.

TYPES OF ASSISTANCE: Project Grants (Cooperative Agreements or Contracts); Provision of Specialized Services.

USES AND USE RESTRICTIONS: To be eligible for a Special Emphasis Assistance Award or contract, an applicant must: (1) respond to legislative requirements contained in Section 261 (a) and (b) of the JJDP Act, as amended as well as specific program guidelines issued by the Office of Juvenile Justice and Delinquency Prevention (OJJDP): (2) be consistent with the objectives and priorities of OJJDP and the State's comprehensive juvenile justice and delinquency prevention plan; (3) provide for proper program administration, evaluation, and fiscal reporting; (4) demonstrate, in the overall quality of the proposal, that the program is technically sound and will achieve the required program objectives at the highest possible level; (5) demonstrate that the proposed project meets the requirements of relative cost effectiveness pursuant to Section 262 (c1) and (c5) of the

Juvenile Justice and Delinquency Prevention Act; and (6) respond to clear and documentable needs.

#### **ELIGIBILITY REOUIREMENTS:**

Applicant Eligibility: Special Emphasis funds are available under the Juvenile Justice and Delinquency Prevention Act of 1974, as amended, to public and private nonprofit agencies, organizations, individuals, State and local units of government, combinations of State or local units.

Beneficiary Eligibility: Public and private youth serving agencies/organizations, State and local units of government, combinations of such units, or other private agencies, organizations, institutions or individuals.

Credentials/Documentation: Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations.

### APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Special Emphasis: In some program initiatives, applicants are invited to submit preliminary applications or concept papers in response to program announcements issued by OJJDP. The original and one copy are sent to the OJJDP in Washington, DC, and where applicable one copy is sent to the Criminal Justice Council; or the original and two copies are sent to the OJJDP if the proposed program extends beyond State boundaries. Preliminary applications are not to exceed 15 pages, but may have supporting information in appendices. Preliminary applications are judged on program requirements according to pre-defined selection criteria. Those applicants

judged to meet selection criteria at the highest level are invited to develop full applications. Each program announcement provides the dates for preliminary application submission. The standard application forms as furnished by the Federal agency, in accordance with 28 CFR Part 66 (Common Rule) or OMB Circular No. A-110, must be used for this program. This program is eligible for coverage under E.O. 12372. "Intergovernmental Review of Federal Programs", and applies except for grants which are national in scope. Program announcements will provide instructions regarding the necessity of submission to single State agencies. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: The applicant submits an original and 2 copies of proposals on Standard Form 424 in response to specific guidelines published by OJJDP. Applicants are expected to address each concern or requirement in the guidelines as clearly and specifically as possible, giving particular attention to goal and objective statements, methodology and data requirements. A peer review group is established as mandated in Section 262(d)(1)(A) of JJDP Act and applications are rated and ranked in relation to pre-

defined selection criteria. This program is subject to the provisions of OMB Circular No. A-110 and the Common Rule.

Award Procedure: Assistance awards and contracts are awarded directly to applicants or may be awarded to State agencies estab-lished to administer the JJDP Act Formula Grant Program or a National Program Coordinator with a subgrant or contract to successful applicants for program admini-stration and

implementation. In either instance, both grantees and subgrantees are notified of a pending award.

Deadlines: Published in program announcements or requests for proposals.

Range of Approval/Disapproval Time: From 1 to 3 months.

Appeals: Informal reconsideration by Administrator for assistance applicants, administrative hearings for assistance award terminations. See C.F.R. Pat 18, 50 F.R. 28199, July 11, 1985.

Renewals: Continuation grant, supple-mental award or contract modification.

### ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Special Emphasis: Grants awarded under the Juvenile Justice and Delinquency Prevention Act do not require a cash match; except for construction projects, where the match is 50 percent on community based facilities of 20 beds or less.

Length and Time Phasing of Assistance: Initial Awards usually are made for 12-18 months and with further funding based upon the project period, grantee performance and availability of funds.

Drawdowns are possible under a Letter of Credit.

### POST ASSISTANCE REQUIREMENTS:

Reports: For Special Emphasis: Quarterly and final financial and progress reports are required.

Audits: State and local governments that receive financial assistance of \$100,000 or more in any fiscal year must have a single audit for that year in accordance with the provisions of OMB Circular No. A-128. "Audits of State and Local Governments." State and local governments receiving at least \$25,000, but less than \$100,000 have the option of performing a single audit or separate program audits required by the applicable Federal statues and regulations. State and local governments receiving less than \$25,000 in any fiscal year are exempt from a single audit. Audits also will be performed as discussed in accordance with OMB Circular No. A-133. "Audits of Institutions of Higher Education and Other Nonprofit Institutions." Institutions

higher education, hospitals and other nonprofit institutions that receive Federal awards between \$25,000 and \$100,000 a year shall have an audit made in accordance with OMB Circular A-133 or have an audit made of each Federal award. The required audits are to be on an organization-wide basis, independently performed and must be in accordance with "government auditing standards" covering financial audits. However, a coordinated audit approach which tailors the scope of the audit to individual circumstances may be worked out between the grantees and the cognizant agency or the grantor agency providing the most funds to a grantee when a cognizant agency has not been assigned. These audits are due to the cognizant Federal agency not later than 13 months after the end of the grantee's fiscal year. Audit requirements from OMB Circular A-133 shall apply to audit of institutions for fiscal years that begin on or after January 1, 1990.

Records: Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for three years after the date of the final report.

### FINANCIAL INFORMATION:

Account Identification: 15-0401-0-1-754;

15-0405-0-1-754.

Obligations: (Grants) Special Emphasis: FY 94 \$7,358,782; FY 95 est \$13,053,116; and FY 96 est \$9,750,000. Technical Assistance: FY 94 \$10,502; FY 95 est \$9,470; and FY 96 est \$0.

Range and Average of Financial Assistance: Not available.

PROGRAM ACCOMPLISHMENTS: In fiscal year 1995, Special Emphasis continuation awards were made to the following: A school based program designed to coordinate social services and educational resources to combat truancy and dropouts; an alternative School model in public housing; a program to assist Native American communities with the development of community-based alternatives for delinquent youth; a program to improve the quality of juvenile correctional education programs; programs to prevent alcohol and drug abuse; and a juvenile boot camp program, a continuation of a program to reduce disproportionate minority confinement: a program to prevent "Hate Crimes"; a program to improve access to and quality of legal services; and a comprehensive program to prevent serious and violent crime and develop graduated sanctions for juveniles that commit serious and violent crime.

REGULATIONS, GUIDELINES, AND LITERATURE: Special emphasis program guidelines are published in the Federal Register and awards are governed by Financial Guide M7100.1 which is available upon request. Reports and studies developed through the OJJDP National Institute (NIJJDP) are

available and can be secured by contacting OJJDP in Washington, DC.

### INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs, Department of Justice, Washington, DC 20531. Telephone: (202) 307-5914. Use the same number for FTS.

RELATED PROGRAMS: 16.540, Juvenile Justice and Delinquency Prevention-Allocation to States; 16.542, National Institute for Juvenile Justice and Delinquency Prevention; 16.544, Part D-

Juvenile Gangs and Drug Abuse and Drug Trafficking.

### **EXAMPLES OF FUNDED PROJECTS:**

Special emphasis grants have been awarded for law related education, family strengthening, comprehensive programs for serious and violent juvenile offenders, juvenile aftercare, juvenile boot camps, Native American community-based programs and drug and alcohol abuse prevention and treatment programs, and school drop out and prevention programs.

### CRITERIA FOR SELECTING

PROPOSALS: Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are applied that are related to the particular program areas under which projects are funded. The criteria are published in the Federal Register as part of the individual program announcements. Applications undergo a competitive peer review process as outlined in the OJJDP

Competition and Peer Review Policy 28 CFR Part 34.

16.542 National Institute for Juvenile Justice and Delinquency Prevention

FEDERAL AGENCY: OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION, DEPARTMENT OF JUSTICE

AUTHORIZATION: Juvenile Justice and Delinquency Prevention Act of 1974, Section 241-248, as amended; Public Laws 93-415, 94-503, 95-115, 96-509, 98-473, 100-690 and 102-586 revised April 1993, 42 U.S.C. 5601, et seq.

OBJECTIVES: To encourage, coordinate, and conduct research and evaluation of juvenile justice and delinquency prevention activities; to provide for public and private agencies, institutions, justice system agencies, a clearinghouse and information center for collecting, disseminating, publishing, and distributing information on iuvenile delinquency: to conduct national training programs of iuvenile related issues, and provide technical assistance and training assistance to Federal, State, and local governments, courts, corrections, law enforcement, probation, public and private agencies, institutions, and individuals, in the planning, establishment, funding, operation, or evaluation of juvenile delinquency programs.

TYPES OF ASSISTANCE: Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS: It is the purpose of the Institute to provide a coordinating center for the collection, preparation and dissemination of useful data regarding the prevention, treatment and control of juvenile delinquency and child exploitation;

to provide training for human services professionals, judges, paraprofessionals, juvenile corrections and detention personnel, volunteers, law enforcement personnel where activities relate to juvenile delinquency prevention and treatment programs; to promote leadership development in the field of iuvenile justice; to promote dissemination of information about new technologies and training methods, to stimulate and support training in the fields of juvenile justice, missing and exploited children; and the human services networks which support the juvenile justice system; and to support development of standards for the administration of juvenile iustice. The funds are also used to conduct research, program development and evaluation into any aspect of juvenile delinquency, missing and exploited children; to review standards of juvenile detention and correctional facilities: to strengthen and maintain the family unit; to improve our understanding of the development of pro-social and anti-social behavior patterns; to report the number and characteristics of juveniles taken into custody; to collect, process and report on the data from the Nation's juvenile justice systems; to assess the juvenile justice system's handling of sex offenders and their offenses: to research and identify early court interventions, delays in sanctions and effective juvenile offender prevention and treatment programs; and to study waivers and transfers to adult courts and conduct research to increase knowledge of how violent youth gangs contribute to serious, violent, and chronic juvenile crime.

### **ELIGIBILITY REQUIREMENTS:**

Applicant Eligibility: Public or private agencies, organizations, or individuals.

Beneficiary Eligibility: Public or private agencies, organizations, or individuals.

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

### APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Standard application forms, in accordance with 28 CFR Part 66 (Common Rule), as required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure: Applicant submits proposal on Standard Form 424. This program is subject to the provisions of OMB Circular No. A-110 and the Common Rule. Proposals must be prepared and submitted in accordance with program announcements published in the Federal Register.

Award Procedure: Award package is sent to grantee.

Deadlines: As scheduled in annual program plan or as set forth in program announcements.

Range of Approval/Disapproval Time: From 1 to 6 months.

Appeals: 28 CFR Part 18.

Renewals: Supplemental grants.

### ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: No match required.

Length and Time Phasing of Assistance: Varies; generally 1 to 3 years. Drawdowns may be made.

### POST ASSISTANCE REQUIREMENTS:

Reports: Financial and subgrant data reported on a monthly, quarterly, and annual basis, as required by the OJP Financial Guide (M7100.1) applicable edition.

Audits: Full fiscal and program audit annually of at least 15 percent of projects; other onsite inspections as needed throughout the year. Also by special request. State and local governments that receive financial assistance of \$100,000 or more in any fiscal year must have a single audit for that year in accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments." State and local governments receiving at least \$25,000, but less than \$100,000 have the option of performing a single audit or separate program audits required by the applicable Federal statutes and regulations. State and local governments receiving less than \$25,000 in any fiscal year are exempt from a single audit. Audits also will be performed as discussed in accordance with OMB Circular No. A-133, "Audits of Institutions of Higher Education and Other Nonprofit Institutions." Institutions of higher education, hospitals and other nonprofit institutions that receive Federal awards between \$25,000 and \$100,000 a year shall have an audit made in accordance with OMB Circular A-133 or have an audit made of each Federal award. The required audits are to be on an organization-wide basis, independently

performed and must be in accordance with "government auditing standards" covering financial audits. However, a coordinated audit approach which tailors the scope of the audit to individual circumstances may be worked out between the grantees and the cognizant agency or the grantor agency providing the most funds to a grantee when a cognizant agency has not been assigned. These audits are due to the cognizant Federal agency not later than 13 months after the end of the grantee's fiscal year. Audit requirements from OMB Circular A-133 shall apply to audit of institutions for fiscal years that begin on or after January 1, 1990.

Records: Grantee must keep complete records on disposition of funds.

### FINANCIAL INFORMATION:

Account Identification: 15-0401-0-1-754; 15-0405-0-1-754.

Obligations: (Grants) FY 94 \$10,502,024; FY 95 est \$18,281,194; FY 96 \$15,250,000.

Range and Average of Financial Assistance: In amounts consistent with the Institute's plans, priorities, and levels of financing.

### PROGRAM ACCOMPLISHMENTS:

During fiscal year 1995, National Institute for Juvenile Justice and Delinquency Prevention funded grants supported a wide variety of activities. Training has been provided to juvenile justice and youth serving agency personnel, including:

lawyers, judges, law enforcement executives; juvenile court, detention, and correctional administrators; probation officers; teachers; students; and, practitioners. These training programs dealt with a range of juvenile justice topics, including juvenile restitution

programming, knowledge and skills development for youth services workers in community-based settings, juvenile corrections administrators and line supervisors, law enforcement and juvenile and family court personnel handling juvenile offenders as well as abused and neglected children in need of permanent placements. The research program provided valuable reports and bulletins from a variety of program areas: Juveniles Taken Into Custody, fiscal year 1992 Report; Juvenile Court Statistics, 1992; Offenders in Juvenile Court, 1992. Serious, Violent, and Chronic Juvenile Offenders: Guide to a Comprehensive Strategy; Urban Delinquency and Substance Abuse; Juveniles and Violence: Juvenile Offending and Victimization; Juveniles and the following Congressionally Mandated Reports, The Obstacles to the Return and Recovery of Parentally Abducted Children, A Study to Evaluate the Conditions in Juvenile Detention and Correctional Facilities and Hate Crimes.

REGULATIONS, GUIDELINES, AND LITERATURE: Office of Justice Programs (OJP) Financial and Administrative Guide for Grants, M7100.1; Federal Register publications: Fiscal Year 1995 Program Plan and Competitive Discretionary Program (May 3, 1995) and Discretionary Program Announcement Application Kit, issued in 1995.

### **INFORMATION CONTACTS:**

Regional or Local Office: None.

Headquarters Office: Department of Justice, Office of Juvenile Justice and Delinquency Prevention, National Institute for Juvenile Justice and Delinquency Prevention, Washington, DC 20531. Contact: Director, Research and Program Development Division. Telephone: (202)

307-5929. Use the same number for FTS. Contact: Emily Martin. (202) 307-5940. Use the same number for FTS.

RELATED PROGRAMS: 16.540, Juvenile Justice and Delinquency Prevention-Allocation to States; 16.541, Juvenile Justice and Delinquency Prevention-Special Emphasis; 16.560, Justice Research, Development, and Evaluation Project Grants.

**EXAMPLES OF FUNDED PROJECTS:** Projects funded during year 1995, include programs addressing serious, violent and chronic offenders, Juvenile Personnel Improvement, Statistics and System Development, Juveniles Taken Into Custody, Children in Custody, Conditions of Confinement Follow-up Performance Standards, Prevention of Family Abduction of Children, Analysis of Research on the Causes and Correlates of Delinquency and Non-Delinquency Violence Studies, Court-Appointed Special Advocates, OJJDP National Training and Technical Assistance Center, Juvenile and Family Court Training, Cultural Differences for Juvenile Justice Personnel Training, Child Centered Community Oriented Policing Program Training Manual and Curriculum. Project to Expand and Improve Juvenile Restitution Programs, Juvenile Corrections and Detention Personnel Technical Assistance and Training, Juvenile Justice Training for State and Local Law Enforcement Personnel, Youth Centered Conflict Resolution Training, Technical Assistance for Family Strengthening, and Juvenile Prosecution Center. CRITERIA FOR SELECTING PROPOSALS: Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are applied that are related to the particular program areas

under which projects are funded. The criteria are incorporated in the individual program announcements. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.544 Part D-Juvenile Gangs and Drug Abuse and Drug Trafficking

FEDERAL AGENCY: OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION, OFFICE OF JUSTICE PROGRAMS, DEPARTMENT OF JUSTICE

AUTHORIZATION: Juvenile Justice and Delinquency Prevention Act of 1974,

Sections 281 and 282, Public Law 93-415, as amended.

OBJECTIVES: To prevent and to reduce the participation of juveniles in the activities of gangs that commit crimes. Such programs and activities may include: 1) individual, peer. family, and group counseling, including provision of life skills training and preparation for living independently, which shall include cooperation with social services, welfare, and health care programs; 2) education and social services designed to address the social and developmental needs of juveniles; 3) crisis intervention and counseling to juveniles, who are particularly at risk of gang involvement, and their families; 4) the organization of the neighborhood and community groups to work closely with parents, schools, law enforcement, and other public and private agencies in the community; and 5) training and assistance to adults who have significant relationships with juveniles who are or may become members of gangs, to assist such adults in providing constructive alternatives to participating in the activities of gangs. To develop within the juvenile adjudicatory and

correctional systems new and innovative means to address the problems of juveniles convicted of serious drug-related and gang-related offenses. To provide treatment to juveniles who are members of such gangs, including members who are accused of committing a serious crime and members who have been adjudicated as being delinquent. To promote the involvement of juveniles in lawful activities in geographical areas in which gangs commit crimes. To promote and support, with the cooperation of community-based organizations experienced in providing services to juveniles engaged in gang-related activities and cooperation of local law enforcement agencies, the development of policies and activities in public elementary and secondary schools which will assist such schools in maintaining a safe environment conducive to learning. To assist juveniles who are or may become members of gangs to obtain appropriate educational instruction, in or outside a regular school program, including the provision of counseling and other services to promote and support the continued participation of such juveniles in such instructional programs. To expand the availability of prevention and treatment services relating to the illegal use of controlled substances and controlled substances analogues (as defined in paragraphs (6) and (32) of section 102 of the Controlled Substances Act (21 U.S.C. 802) by juveniles, provided through State and local health and social services agencies. To provide services to prevent juveniles from coming into contact with the juvenile justice system again as a result of gang-related activity. To provide services at a special location in a school or housing project. To facilitate coordination and cooperation among: 1) local education, juvenile justice, employment, and social service agencies; and 2) community-based programs with a proven record of effectively providing intervention services to juvenile gang members for the purpose of reducing the participation of juveniles in illegal gang activities

TYPES OF ASSISTANCE: Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS: To be eligible for an award or contract, an applicant must: (1) respond to legislative requirements contained in Section 281A and 282A of the JJDP Act, as amended as well as specific program guidelines issued by the Office of Juvenile Justice and Delinquency Prevention (OJJDP); (2) be consistent with the objectives and priorities of OJJDP; (3) provide for adequate program administration, evaluation and fiscal reporting; (4) demonstrate, in the overall quality of the proposal, that the program is technically sound and will achieve the required program objectives at the highest possible level; and (5) respond to clear and documentable needs.

#### **ELIGIBILITY REQUIREMENTS:**

Applicant Eligibility: Part D funds are available under the Juvenile Justice and Delinquency Prevention Act of 1974, as amended, to public or private nonprofit agencies, organizations or individuals.

Beneficiary Eligibility: Public or private nonprofit agencies, organizations or individuals.

Credentials/Documentation: Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations.

### APPLICATION AND AWARD PROCESS:

Preapplication Coordination: In some program initiatives, applicants are invited to submit preliminary applications or concept papers in response to program announcements issued by OJJDP. The original and one copy are sent to the OJJDP in Washington, DC, and where applicable one copy is sent to the Criminal Justice Council; or the original and two copies are sent to the OJJDP if the proposed program extends beyond State boundaries. Preliminary applications are not to exceed 15 pages, but may have supporting information in appendices. Preliminary applications are judged on program requirements according to pre-defined selection criteria. Those applicants judged to meet selection criteria at the highest level are invited to develop full applications. Each program announcement provides the dates for preliminary application submission. The standard application forms as furnished by the Federal agency, in accordance with 28 C.F.R., Part 66 (Common Rule) or OMB Circular No. A-110 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs", and applies except for grants which are national in scope. Program announcements will provide instructions regarding the necessity of submission to single State agencies. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: Applicant submits proposal on Standard Form 424. This program is subject to the provisions of OMB Circular No. A-110 and the Common Rule. Proposals must be prepared and submitted in

accordance with program announcements published in the Federal Register.

Award Procedure: Award package is sent to grantee.

Deadlines: Published in program announcements or requests for proposals.

Range of Approval/Disapproval Time: From 1 to 3 months.

Appeals: See 28 C.F.R. Part 18.

Renewals: Supplemental grants or contract modification.

### ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: No match required.

Length and Time Phasing of Assistance: Initial awards usually are made for a period of 12 to 18 months with further funding based upon the project period and grantee performance and availability of funds. Drawdowns are possible under a Letter of Credit

### POST ASSISTANCE REQUIREMENTS:

Reports: Quarterly and final financial and progress reports are required.

Audits: In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Government," State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit conducted for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit conducted in accordance with Circular No.

A-128, or in accordance with Federal laws and regulations governing the programs in which they participate. Nonprofit organization are subject to the audit provisions set forth in OMB Circular No. A-133.

Records: Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years after the date of the final report.

### FINANCIAL INFORMATION:

Account Identification: 15-0401-0-1-754; 15-0405-0-1-754.

Obligations: (Grants) FY 94 \$3,505,269; FY 95 est \$12,114,165; and FY 96 est \$10,000,000.

Range and Average of Financial Assistance: Not available.

PROGRAM ACCOMPLISHMENTS:

During Fiscal Year 1995, the Office of Juvenile Justice and Delinquency Prevention funded a National Gang Assessment Resource Center which assesses the nature and extent of the gang problem, reviews the current gang literature, advances statistical data collection and analyses, identifies promising program models, body of information into user-friendly dissemination products. OJJDP also funded a five demonstration sites to implement a Comprehensive Community-Wide Approach to Gang Prevention, Intervention, and Suppression Program, which utilizes the program model developed by Irving Spergel and colleagues at the University of Chicago. In addition, an Evaluation of the Comprehensive Community-Wide Approach to Gang Prevention, Intervention, and Suppression Program was funded. Under the 1995

continuation program, Boys and Girls Clubs of America (B&GCA) will continue and expand its efforts in preventing at-risk youth from becoming involved in gangs, and intervening with youth who are already involved in gangs. It will also continue its research and statistics, and state-of-the-art programs. In the area of prevention, B&GCA will extend the time period and support to the current gang prevention sites. B&GCA will set up new gang prevention program sites to reach additional youth. In order to meet the growing needs of Clubs outside of the project, the program will continue to provide regional gang prevention or delinquency prevention training. The training will consist of a day-and-a-half training for Club professionals and community representatives, the Gang Prevention Through Targeted Outreach Manual, and on-site and off-site technical assistance for administrative and program staff. The 1995 Gang Prevention new sites will include Boys and Girls Clubs of Worcester, Massachusetts, Norfolk, Virginia; Anniston, Alabama; Holland, Michigan; Lexington, Kentucky; New Albany, Indiana; Forth Worth, Texas; Santa Barbara and Ventura, California. Each of the Clubs will implement their Action Plan, which will define a recruitment strategy, establish a referral network, ensure that services are needs and interest-based, and ultimately result in the recruitment and integration into the Clubs' core programs of youth between the ages of 6 and 18 either at-risk of gang involvement or fringe members of a gang. The Boys and Girls Clubs of America will host a Youth Gang Symposium, designed to provide state-of-the-art information on youth gang prevention and intervention programming. This symposium will also provide an opportunity to educate media representatives about existing programs to combat gangs. In addition to continuing the gang prevention and intervention component of the Targeted Outreach Program in 1995 B&GCA also

continued to establish Clubs in public housing developments.

REGULATIONS, GUIDELINES, AND LITERATURE: The office of Justice Programs (OJP) Financial and Administrative Guide for Grants, M.7100.1.

### INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs, Department of Justice, Washington, DC 20531. Telephone: (202) 307-0751. Use the same number for FTS.

RELATED PROGRAMS: 16.540, Juvenile Justice and Delinquency Prevention-Allocation to States; 16.541, Juvenile Justice and Delinquency Prevention-Special Emphasis; 16.542, National Institute for Juvenile Justice and Delinquency Prevention.

**EXAMPLES OF FUNDED PROJECTS:** Projects funded during Fiscal Year 1995 include programs that provide education, sports and counseling services; programs designed to prevent youth from entering gangs and to intervene with gang members in the early stages of gang involvement and to divert them away from gangs and toward more constructive programs; a drug awareness, education and prevention campaign designed to help young people understand the dangers of drugs and live a non-impaired lifestyle; establishing a prep-school on the premises of a public housing development for kindergarten to fourth grade children; an innovative gang prevention summer program established in northeast Portland, Oregon, for high school youth that are at risk of joining gangs; programs to prevent high school students from dropping out of school and joining gangs; to reduce teen victimization; and to

provide training and technical assistance to key policy makers, and to foster improved public and private Agency gang and drug prevention, intervention and suppression strategies.

#### CRITERIA FOR SELECTING

PROPOSALS: Applications are assessed according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are applied that are related to the particular program areas under which projects are funded. The criteria are published in the Federal Register as part of each program announcement. Applications may undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy 28 C.F.R. Part 34.

### 16.547 Victims of Child Abuse

(Judicial Child Abuse Training, Investigation and Prosecution of Child Abuse Through the Criminal Justice System, Court Appointed Special Advocates (CASA), and Children's Advocacy Centers)

FEDERAL AGENCY: OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION, DEPARTMENT OF JUSTICE

AUTHORIZATION: Victims of Child Abuse Act of 1990, Public Law 101-647.

OBJECTIVES: To develop model technical assistance and training programs to improve the courts' handling of child abuse and neglect cases. Facilitate the adoption of laws to protect children against the potential second assault of the courtroom proceeding; to address the present situation in which many States have adopted innovative procedures

that have far outpaced Federal law, leaving those children who do enter the Federal system inadequately protected; to address the inconsistency and disparity among State laws on child abuse; to train criminal justice system personnel on up-to-date, innovative techniques for investigating and prosecuting child abuse cases; and, to promote a multidisciplinary approach to coordinating the investigations and prosecution of child abuse cases and. thereby, limiting the number of pre-trial interviews a child must go through as well as better assure the accuracy of each interview. Provide technical assistance, information and support to CASA programs, as well as assist communities in developing new programs, provide support to existing and developing State organi-zations on issues such as the development of goals and objectives, State legislation, and State standards to strengthen local programs. Assist communities in developing child-focused programs designed to improve the resources available to children and families; provide support to nonoffending family members; enhance coordination among community agencies, professionals, and provide medical support to health care and mental health care professionals involved in the intervention, prevention, prosecution, and investigation systems that respond to child abuse cases.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Funds are available specifically to achieve the objectives of the Judicial Child Abuse Training, Investigation and Prosecution of Child Abuse Through the Criminal Justice System, Court Appointed Special Advocates (CASA), and the Regional and Local Advocacy Centers.

**ELIGIBILITY REQUIREMENTS:** 

Applicant Eligibility: Eligible applicants are designated in the congressional appropriations process for judicial child abuse training, investigation and prosecution of child abuse through the criminal justice system, and Court Appointed Special Advocates (CASA). Local nonprofit agencies/ organizations may apply to National Court Appointed Special Advocates (NCASA) to start or expand CASA programs, and local children's advocacy centers or agencies/ organizations interested in start-up of children"s advocacy centers may apply to the National Network of Children's Advocacy Centers (NNCAC) for funds awarded to them by OJJDP for this purpose. Nonprofit children's advocacy centers may apply to OJJDP for funds appropriated for the regional advocacy centers when a request for proposals appears in the Federal Register. Current grantees of the four Children's Advocacy Centers are in the second year of a 5-year project period contingent upon future Congressional earmarks.

Beneficiary Eligibility: Public or private agencies/organizations addressing child abuse problem.

Credentials/Documentation: Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations.

### APPLICATION AND AWARD PROCESS:

Preapplication Coordination: This program is excluded from coverage under E.O. 12372.

Application Procedure: Procedures for application for funds to support CASA programs will be available through competitive proposals issued by National Court Appointed Special Advocates (NCASA), 2722 Eastlake

Ave, E., Suite 330, Seattle, Washington 98102; and funds to support local children's advocacy centers will be available through solicitations issued by the National Network of Children's Advocacy Centers, Inc. (NNOCAC), 301 Randolph Ave., Huntsville, Alabama 35801.

Award Procedure: The Administrator, Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs has final approval authority over grants awarded by OJJDP. Award package is sent to grantee. Procedures for applications for CASA and local children's advocacy funds will be provided in the solicitation for competitive proposal issued by NCASA and NNOCAC.

Deadlines: None.

Range of Approval/Disapproval Time: Not applicable.

Appeals: Not applicable.

Renewals: The term for this grant program budget period will be for a period of 12 to 18 months.

### ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable.

Length and Time Phasing of Assistance: Grants are generally awarded for a 2 to 12 month time period, but may have a 12 to 36 month project period.

### POST ASSISTANCE REQUIREMENTS:

Reports: Quarterly and final financial reports and a quarterly program performance report will be required as stipulated in the effective edition of M7100.1, "Financial and

Administrative Guide for Grants." Similar reporting requirements will be required by NLASA and NNLAC to satisfy Federal requirements.

Audits: Audits will be performed in accordance with OMB Circular No. A-133 "Audits of Institutions of Higher Education and Other Nonprofit Institution." Institutions that received Federal awards between \$25,000 and \$100,000 a year shall have an audit made in accordance with OMB Circular A-133 or have an audit made of each Federal award. The required audits are to be on an organization-wide basis, independently performed and must be in accordance with "government auditing standards" covering financial audits. However, a coordinated audit approach which tailor the scope of the audit to individual circumstances may be worked out between the grantees and the cognizant agency or the grantor agency providing the most funds to a grantee when a cognizant Federal agency has not been assigned. These audits are due to the cognizant Federal agency not later than 13 month after the end of the grantee's fiscal year. Audits requirements for OMB Circular A-133 shall apply to audit of institutions for fiscal years that begin on or after January 1, 1990.

Records: Grantee must keep complete records on the disposition of funds.

### FINANCIAL INFORMATION:

Account Identification: 15-0401-0-1-754; 15-0405-0-1-754.

Obligations: (Grants) FY 94 \$7,500,000; FY 95 est \$11,753,969; and FY 96 \$11,250,000.

Range and Average of Financial Assistance: Not applicable.

### PROGRAM ACCOMPLISHMENTS:

During 1995, technical assistance and training was provided by NCASA to 610 CASAs with 37,000 volunteers repre-senting service to 128,000 children. A range of technical assistance materials were disseminated, and funds were made available to 75 new organizations/agencies either operating CASAs for start-up or to statewide CASA programs. The maximum grant was \$80,000 for 2 years. Responses were provided to 3,400 requests for technical assistance and a week long training program was provided to prosecutors on child abuse cases, and several special issue seminars were conducted. The second edition of the investigation and prosecution of child abuse was published and informational materials were made available to prosecutors, law enforcement, social workers, and other personnel handling child abuse cases. A resource guide on improving court practices and other technical materials have been developed for the nation's iuvenile and family courts in handling child abuse cases by the National Council of Juvenile and Family Court Judges which has begun work with three jurisdictions to replicate the demonstration court model. During 1994, 104 grants were awarded to local children's advocacy centers by the National Network of Local Children's Advocacy Centers to support training, technical assistance and program development and four organiza-tions were selected in 1994 to implement the regional children's advocacy centers through a competitive solicitation. Continuation awards to the National Network to support local CACs and awards to the four regional centers for FY 1995 were made in September 1995. The National Network has begun to solicit applications from local children's advocacy centers and anticipates making awards by December 1995.

REGULATIONS, GUIDELINES, AND LITERATURE: Applications and current edition of M7100.1, are available upon request.

### INFORMATION CONTACTS: Regional or Local Office: None.

Headquarters Office: Emily C. Martin, Training and Technical Assistance Division, Office of Juvenile Justice and Delinquency Prevention, Department of Justice, Washington, DC 2031. Telephone: (202) 307-5940. Use the same number for FTS.

RELATED PROGRAMS: None.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

CRITERIA FOR SELECTING PROPOSALS: By congressional designation, funds will be made available specifically for the Judicial Child Abuse Training to the National Council of Juvenile and Family Court Judges, for the Investigation and Prosecution of Child Abuse through the Criminal Justice System to the American Prosecutor Research Institute, Court appointed Special Advocates to the National Court Appointed Special Advocate Association, and for the development of Regional and Local Children's Advocacy Centers through the National Network of Children's Advocacy Centers and the four regional Children's Advocacy Centers.

16.726 Juvenile Mentoring Program (JUMP)

FEDERAL AGENCY: OFFICE OF JUVENILE JUSTICE AND DELINOUENCY PREVENTION

AUTHORIZATION: Juvenile Justice and Delinquency Prevention Act of 1974, Section 288, as amended, Public Law 93–415, as amended; Public Law 94–503, 95–115, 96–509, 98–473, 100–690, and 102–586, 42 U.S. § 5667c.

OBJECTIVES: 1) To reduce juvenile delinquency and gang participation. 2) To improve academic performance, and 3) To reduce the dropout rate; through the use of mentors for at-risk youth.

TYPES OF ASSISTANCE: Discretionary Grants.

USES AND USE RESTRICTIONS: JUMP provides support for one-to-one mentoring programs for at-risk youth. An "at-risk youth" is, for purposes of this program, a youth who is at risk of educational failure or dropping out of school. A "mentor" is defined as an adult, 21 years or older, who works with an at-risk youth on a one-to-one basis, establishes a supportive relationship with the youth and provides the youth with academic assistance and exposure to new experiences which enhance the youth's ability to become a responsible citizen.

ELIGIBILITY REQUIREMENTS: Eligible applicants are those that meet one or more of the following criteria: (1) serve at-risk youth in high crime areas; (2) have 60 percent or more of their youth eligible to receive funds under Chapter I of the Elementary and Secondary Education Act of 1965; (3) have a considerable number of youth who drop out of school each year; and (4) that can demonstrate knowledge of and/or experience with mentoring programs, as well as working with volunteers and youth. Mentors must be adults, 21 years or older.

APPLICANT ELIGIBILITY: Local education agencies (LEA) and public/private non-profit organizations. Both entities (LEA) or public/private non-profit organizations must collaborate with the other to implement the program. Accordingly, each applicant has specified the nature of the relationship with either the school or school agency (if the applicant is a non-profit) or with the non-profit (if the applicant is a LEA).

BENEFICIARY ELIGIBILITY: Local education agencies (LEA) and public/private non-profit organizations.

CREDENTIALS/DOCUMENTATION: Costs will be determined in accordance with OMB Circular No. A–87 for State and local governments, and OMB Circular No. A–110 for Instructions of Higher Education, Hospitals and Other Nonprofit Organizations.

APPLICATION AND AWARD PROCESS:

PREAPPLICATION COORDINATION: This program is eligible for coverage under E. O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or office designated as the single point of contact in his or her state for more information on the processes the state requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program.

### APPLICATION PROCEDURE:

Applicants must submit a proposal to the Office of Justice Programs on Standard Form 424 (Federal Assistance Applications). The receipt, review, and analysis of applications will follow Office of Justice Programs policies

and procedures for the administration of grant applications. This program is subject to the provisions of OMB Circular No. A–110.

AWARD PROCEDURE: Upon approval by the Office of Justice Programs, a letter is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authored official and returned to the Office of Justice Programs.

DEADLINES: Published in program announcements, requests for applications.

RANGE OF APPROVAL/DISAPPROVAL TIME: From 1 to 3 months.

APPEALS: Informal reconsideration by Administrator for assistance applicants; administrative hearings for assistance award termination. SCE C.F.R. Pat. 18, 50 FR 28199, July 11, 1985.

RENEWALS: None.

#### ASSISTANCE CONSIDERATIONS:

FORMULA AND MATCHING REQUIREMENTS: Special Emphasis Grants awarded under The Juvenile Justice and Delinquency Prevention Act do not require a cash match, except for construction projects, where the match is 50 percent on community-based facilities of 20 beds or less.

LENGTH AND TIME PHASING OF ASSISTANCE: Under Title II, Part G of the JJDP Act, awards will be made for three years. Drawdowns are possible under a letter of credit.

### POST ASSISTANCE REQUIREMENTS:

REPORTS: Under Special Emphasis Grantssemi-quarterly progress and financial reports are required.

AUDITS: State and local governments that receive financial assistance of \$100,000 or more in any fiscal year must have a single audit for that year in accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments." State and local governments receiving \$25,000, but less than \$100,000 have the option of performing a single audit or separate program audits required by the applicable Federal statutes and regulations. State and local governments receiving less than \$25,000 in any fiscal year are exempt from single audit. Audit also will be performed as discussed in accordance with OMB Circular No. A-133, "Audits of Institutions of Higher Education and Other Nonprofit Institutions." Institutions of higher education, hospitals and other nonprofit institutions that receive Federal awards between \$25,000 and \$100,000 a year shall have an audit made in accordance with OMB Circular A-133 or have an audit made of each Federal award. The required audits are to be on an organization-wide basis, independently performed and must be in accordance with government auditing standards" covering financial audits. However, a coordinated audit approach which tailors the scope of the audit to individual circumstances may be worked out between the grantees and the cognizant agency or the grantor agency providing the most funds to a grantee when a cognizant agency has not been assigned. These audits are due to the cognizant Federal agency not later than 13 months after the end of the grantee's fiscal year. Audit requirements from OMB Circular A-133 shall apply to audit of institutions for fiscal years that begin on or after January 1, 1990.

RECORDS: Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for three years.

FINANCIAL INFORMATION:

ACCOUNT IDENTIFICATION: 15–0405–0–1–754

OBLIGATIONS: (Grants) FY 95 \$3,940,726; FY 96 est \$4,059,274; FY 97 est \$4,000,000.

RANGE AND AVERAGE OF FINANCIAL ASSISTANCE: Varies. Up to \$200,000 per year, per site.

PROGRAM ACCOMPLISHMENTS: New program, none available.

REGULATIONS, GUIDELINES, AND LITERATURE: Financial Guide M7100.1 is applicable.

INFORMATION CONTACTS:

REGIONAL OR LOCAL OFFICE: None.

HEADQUARTERS OFFICE: Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs, 633 Indiana Avenue, NW, 7th Floor, Washington, D.C. 20531. Telephone: (202) 307–5911.

RELATED PROGRAMS: OJJDP's SafeFutures Program, which was funded in fiscal year 1995, has nine components, one of which is mentoring.

EXAMPLES OF FUNDED PROJECTS: In Fiscal Year 1995 OJJDP awarded 41 grants

to mentoring projects in school-based settings or private non-profit groups.

CRITERIA FOR SELECTING
PROPOSALS: Applications are judged
according to their consistency with the
policies and program priorities established by
the Juvenile Justice and Delinquency
Prevention Act. Specific criteria are applied
that are related to the particular program areas
under which projects are funded. The criteria
are published in the Federal Register as part of
the individual program announcements.
Applications undergo a competitive peer
review process as outlined in the OJJDP
competition and Peer Review Policy 28 CFR
Part 34.